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<b>(21) International Application Number:</b> PCT/CA94/00459 <b>(22) International Filing Date:</b> 26 August 1994 (26.08.94) <b>(30) Priority Data:</b> 08/112,395 26 August 1993 (26.08.93) US <b>(60) Parent Application or Grant</b> <b>(63) Related by Continuation</b> US 08/112,395 (CIP) Filed on 26 August 1993 (26.08.93) <b>(71) Applicants (for all designated States except US):</b> NATIONAL RESEARCH COUNCIL OF CANADA [CA/CA]; Montreal Road, Ottawa, Ontario K1A 0R6 (CA). MCGILL UNIVERSITY [CA/CA]; 845 Sherbrooke Street West, Montreal, Quebec H3A 2T5 (CA). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> BERGERON, John, J., M. [CA/CA]; 22 Compton Crescent, Pointe-Claire, Quebec H9R 5V6 (CA). THOMAS, David, Y. [CA/CA]; 76 Brock Avenue North, Montreal West, Quebec H4X 2E9 (CA). WADA, Ikuo [US/US]; Apartment 3E, 790 Boylston Street, Boston, MA 02199 (US).		<b>(74) Agent:</b> ANDERSON, J., Wayne; National Research Council of Canada, Montreal Road, Ottawa, Ontario K1A 0R6 (CA).  <b>(81) Designated States:</b> AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> COMPOSITIONS AND METHODS FOR THE DETECTION AND TREATMENT OF PROTEIN TRAFFICKING DISORDERS AND INCREASING SECRETORY PROTEIN PRODUCTION		
<b>(57) Abstract</b> <p>The present invention provides compositions and methods for increasing secretory protein production. In another aspect, the present invention provides compositions for use in methods of treating and diagnosing protein trafficking disorders. These methods generally involve the alteration of calnexin activity to increase protein secretion or retention.</p>		

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DescriptionCOMPOSITIONS AND METHODS FOR THE DETECTION AND TREATMENT  
OF PROTEIN TRAFFICKING DISORDERS AND INCREASING SECRETORY  
PROTEIN PRODUCTIONTechnical Field

The present invention is generally directed toward methods of treating and diagnosing protein trafficking disorders and altering secretory protein production. More specifically, the present invention is directed toward compositions and methods of treating and diagnosing protein trafficking disorders and altering secretory protein production by controlling calnexin activity.

Background of the Invention

The endoplasmic reticulum (ER) functions in the translocation of proteins, cleavage of signal peptides, protein folding, core glycosylation, assembly of oligomers, degradation of misfolded secretory proteins, and storage of calcium in the cell. It facilitates these activities through the use of a number of different enzymes and "molecular chaperones." BiP is a known molecular chaperone in the ER's luminal pathway. However, the futile search for an association of secretory proteins in HepG2 cells with BiP has provided a strong indicia that more than one pathway is present (Lodish, *J. Biol. Chem.* 263:2107-2110, 1988). To date, efforts to elucidate the second pathway deemed the "membrane pathway" have been unsuccessful.

Elucidation of the nature of the membrane pathway and its components is of primary importance to treatment of protein trafficking disorders such as cystic fibrosis, juvenile pulmonary emphysema, Tay-Sachs disease, congenital sucrose isomaltase deficiency, and familial hypercholesterolaemia. These protein trafficking disorders and others may be caused by alteration of any aspect of the translocation assembly, or the proteins associated therewith, causing them to be inappropriately retained in the ER.

In view of the lack of current therapies to successfully control all protein trafficking disorders, it is evident that there exists a need for new and additional therapeutic agents and methods to treat these disorders. The present invention fulfills these needs, and further provides other related advantages.

Summary of the Invention

The present invention is generally directed towards methods of treating and diagnosing protein trafficking disorders and controlling secretory protein production.

5 In one aspect, the present invention involves methods of increasing secretory protein production in a biological preparation, comprising administering a calnexin suppressor agent to a biological preparation in an amount effective to increase secretory protein production.

10 Another aspect of the present invention involves agents which decrease calnexin associations for use in the manufacture of a medicament for increasing secretory protein production in a warm-blooded animal.

15 Another aspect of the present invention involves compositions that include an agent which decrease calnexin activity for use in the manufacture of a medicament for treating a warm-blooded animal for protein trafficking disorders which require reduction of calnexin associations.

20 Another aspect of the present invention involves compositions that include an agent which stimulates calnexin activity for use in the manufacture of a medicament for treating a warm-blooded animal for a protein trafficking disorder which require stimulation of calnexin associations.

25 Another aspect of the present invention involves conjugates comprising agents linked to moieties which target the conjugates to the endoplasmic reticulum for use in the manufacture of a medicament for treating a warm-blooded animal for a protein trafficking disorder.

30 Another aspect of the present invention involves methods of diagnosing a protein trafficking disorder in a warm-blooded animal, comprising exposing an anticalnexin antibody, containing a reporter group, to the ER of a warm-blooded animal under conditions and for a time sufficient to permit binding to calnexin, and detecting the amount of calnexin and determining therefrom the presence of a protein trafficking disorder.

35 Another aspect of the present invention involves methods of diagnosing a protein trafficking disorder in a biological preparation, comprising exposing an anticalnexin antibody, containing a reporter group, to the biological preparation under conditions and for a time sufficient to permit binding to calnexin, and detecting the amount of calnexin and determining therefrom the presence of as protein trafficking disorder.

These and other aspects of the present invention will become evident upon reference to the following detailed description and attached drawings. In addition,

various references are set forth which describe in more detail certain procedures and/or compositions, and are hereby incorporated by reference in their entirety.

#### Brief Description of the Drawings

5                   Figure 1. Association of newly synthesized proteins with calnexin in HepG2 cells.

                  Figure 1a. HepG2 cells were labeled with 50  $\mu$ Ci/ml Trans  $^{35}$ S-label for 30 minutes followed by lysis and immunoprecipitation with anti- $\alpha$ 1-antitrypsin antibody (lanes 1 and 2) and either untreated (lane 1) or treated (lane 2) with endo H. Cell lysates were immunoprecipitated with anti-calnexin antibody under denaturing (lane 3) or non-denaturing conditions (lane 4). After immunoprecipitation with anti-calnexin antibody under non-denaturing conditions, coprecipitated proteins were eluted from protein A-agarose beads with SDS. Sequential immunoprecipitations were carried out with anti- $\alpha$ 1-antitrypsin (lane 5); anti- $\alpha$ 1-antichymotrypsin (lane 6); anti-transferrin (lane 7); anti-C3 (lane 8); anti-apo $\beta$ -100 (lane 9); anti- $\alpha$ -fetoprotein (lane 10) and anti-albumin antibodies (lane 11). Lysates immunoprecipitated directly with anti-albumin antibody revealed a major band corresponding to the expected mobility of albumin (lane 12.)

                  Figure 1b. HepG2 cells were incubated at 37°C in the presence of 10  $\mu$ g/ml tunicamycin for 3 h., and then labeled with 50  $\mu$ Ci/ml Tran $^{35}$ S-label for 10 minutes in the presence (lanes 2, 4 and 6) of 10  $\mu$ g/ml tunicamycin (Boehringer Mannheim). Lanes 1, 3, 5 did not receive tunicamycin treatment. The cell lysates were immunoprecipitated with anti- $\alpha$ 1-antitrypsin (lanes 1 and 2); anti-transferrin (lanes 3 and 4); and anti-calnexin (lanes 5, 6) under non-denaturing conditions. Immunoprecipitates were analyzed by SDS-PAGE. The mobilities of molecular mass markers (duping EN) are indicated to the left of the gels.

                  Figure 2. Sucrose density gradient fractionation of calnexin-associated proteins. HepG2 cells without (a, c, e, and g) or with tunicamycin treatment for 3 h (b, d, f, and h) were radiolabeled for 10 minutes and then lysed in 2% cholate/HBS buffer. After centrifugation (100,000 x g, 20 minutes), supernatants were loaded onto a 5%-30% (w/v) sucrose density gradient containing 50 mM Hepes-NaOH, pH 7.5, 0.2 M NaCl, 0.3% cholate and centrifuged at 180,000 x g for 15 h. Fractions were immunoprecipitated under non-denaturing conditions with anti-calnexin (a and b), anti- $\alpha$ 1-antitrypsin (c and d) or anti-albumin antibodies (e and f). g and h are immunoblots of the fractions probed with anti-calnexin antibody.

                  Figure 3. Kinetics of association of newly synthesized secretory proteins with calnexin in HepG2 cells.

Figure 3a. HepG2 cells were labeled with 50  $\mu$ Ci/ml Trans  $^{35}$ S-label for 10 minutes, and chased in DMEM, 1 mM methionine, 0.5 mM cysteine for the indicated times. Cell lysates were immunoprecipitated with anti-calnexin antibody under non-denaturing conditions.

5                   Figure 3b. Following pulse chase, cell lysates were immunoprecipitated with anti- $\alpha$ 1-antitrypsin antibody (upper panel) to determine the kinetics of intracellular transport; (lower panel), after cell lysates were immunoprecipitated with anti-calnexin antibody calnexin-associated proteins were eluted and sequentially immunoprecipitated with anti- $\alpha$ 1-antitrypsin antibody as described in length to Figure 1. The  
10 immunoprecipitates were treated with (left) or without (right) endo H at 37° for 15 h.

                  Figure 3c. Following pulse chase, cell lysates were immunoprecipitated with anti-calnexin antibody under non-denaturing conditions. After elution of the calnexin-associated proteins, sequential immunoprecipitations were carried out with anti- $\alpha$ 1-antitrypsin (0--0), anti-transferrin (C--C), anti-C3 antibodies ( $\Delta$ -- $\Delta$ ). The  
15 immunoprecipitates were analyzed by SDS-PAGE followed by fluorography. The intensity of the bands corresponding to the respective proteins were quantitated by densitometry (Zeineh soft laser scanning densitometer interfaced with an IBM PC using GS 350 Data System (Hoefer Scientific Instruments)) and expressed as a percentage of the maximum association found.

20                   Figure 4. Time course of the association of newly synthesized proteins with calnexin in the presence of Azc. HepG2 cells were incubated with 5 mM azetidine-2-carboxylic acid (Azc) (Sigma) in methionine-free medium containing 10% dialyzed FCS for 60 minutes, then pulse labeled with 50  $\mu$ Ci/ml Trans  $^{35}$ S-label for 10 minutes in the presence of 5 mM Azc and chased in the absence of the drug. At the  
25 indicated times, cells were harvested, lysed, and immunoprecipitated with anti-calnexin antibody under non-denaturing conditions as in Figure 1. Immunoprecipitates were analyzed on an 8% SDS-PAGE gel followed by fluorography. The mobility of albumin would correspond to that of the 69 kDa marker.

                  Figure 5. Association of incompletely folded transferrin with calnexin.

30                   Figure 5a. HepG2 cells were pulse labeled for 10 minutes with 50  $\mu$ Ci/ml Trans  $^{35}$ S-label and chased for the indicated times. Transferrin was immunoprecipitated from cell lysates with anti-transferrin antibody, and analyzed on reducing (upper panel) or non-reducing gels (lower panel) as described by Lodish et al. *J. Biol. Chem.* 266:14835-14838 (1991).

35                   Figure 5b. HepG2 cells were pulse labeled and chased for the indicated times. Total cell lysates were immunoprecipitated with anti-calnexin antibody. Calnexin-associated proteins were eluted from the protein A-agarose beads with SDS

and sequentially immunoprecipitated with anti-transferrin antibody as described in the legend to Figure 1. The higher order aggregates of transferrin are not calnexin associated (cf. a, b, lower panels). They are presumed to represent interchain disulfide bonds and their significance as folding intermediates or misfolded products (Kim et al.,  
5 *J. Cell Biol.* 118:541-549 (1992)) is unknown.

Figure 6. Selectivity of calnexin for incompletely folded glycoproteins. Shortly after translocation, glycosylated proteins are presented to calnexin via oligosaccharyl transferase where protein folding, catalyzed by protein folding enzymes, occurs coincident with glycoprotein dissociation from calnexin (membrane associated  
10 pathway). Tunicamycin treatment prevents presentation to calnexin and may lead to protein misfolding and BiP association or folding by other ER luminal chaperones and secretion. Non-glycosylated proteins, e.g., albumin, are presented directly to the ER lumen where soluble resident chaperones may organize their folding with ER luminal protein folding enzymes.

15 Figure 7. Calnexin DNA sequence.

#### Detailed Description of the Invention

Prior to setting forth this invention it may be helpful to first define certain terms that will be used herein.

20 "Protein trafficking disorder" refers to a disorder which affects secretory protein translocation, folding, or assembly in the ER. Representative examples of protein trafficking disorders include familial hypercholesterolaemia, cystic fibrosis, Tay-Sachs disease, congenital sucrose isomaltase deficiency, and juvenile pulmonary emphysema.

25 "Secretory protein" refers to all N-linked glycosylated proteins and unfolded proteins processed through the ER, including all coagulation factors, all blood factors, all hormone and growth factor receptors and all ion channels including, by way of example, cystic fibrosis chloride channels and there are nicotinic and muscarinic acetylcholine receptors.

30 "Biological preparation" refers to any animal cell or tissue *ex vivo*. Suitable preparations include, by way of example, HepG2 cells, COS cells, 293 cells, and ATT20 cells.

"Molecular chaperone" refers to the class of proteins which stabilize unfolded or partially folded structures, prevent the formation of inappropriate intra- or  
35 interchain interactions, or interact with protein molecules to promote the rearrangement of protein-protein interactions in oligomeric structures.

"Calnexin association" refers to the association, including covalent and non-covalent binding, of calnexin to a secretory protein.

The present invention provides methods and compositions directed to the regulation of secretory protein production and the treatment and diagnosis of protein trafficking disorders. The membrane pathway of the endoplasmic reticulum (ER) constitutes both a quality control and a translocation apparatus. Specifically, this apparatus is designed to ensure the functional integrity of secretory proteins and regulate their transport through the membrane. It is comprised of a complex of four integral membrane proteins, a phosphoprotein (pp90), a phosphoglycoprotein (pgp35), and two non-phosphorylated glycoproteins (gp25H and gp25L). The latter three proteins have been identified as signal sequence receptors SSR $\alpha$  (pgp35), SSR $\beta$  (gp25H), and a non-phosphorylated glycoprotein (gp25L). The phosphoprotein (pp90) represents calnexin. (The calnexin sequence is elucidated in Figure 7.)

Secretory proteins are divided between the luminal and membrane pathways by glycosylation. Glycosylation of nascent proteins leads to presentation to the membrane pathway while non-glycosylated proteins apparently follow the luminal pathway. (Fig. 6). Under normal conditions, some glycoproteins fold more rapidly on the membrane associated pathway with tunicamycin treatment leading to misfolding and inhibition of the rate of protein transport.

Calnexin is a molecular chaperone which selectively associates in a transient fashion with newly synthesized monomeric glycoproteins and is thus active in the membrane pathway. Calnexin associates with glycoproteins and incompletely folded secretory proteins. Dissociation of glycoproteins from calnexin occurs at different rates and is related to the time taken for their folding. This results in large differences and the rates of transport from the ER to the Golgi apparatus, with the rate limiting step governed by the time spent in the ER in association with calnexin.

Calnexin, as molecular chaperone in the membrane pathway, is thus distinguishable from BiP, as a molecular chaperone in the luminal pathway. (Figures 1, 2, and 6). The differences are demonstrated by stress treatment. Stress conditions, such as heat shock or tunicamycin treatment, greatly stimulate the interaction of BiP with substrate proteins. However, neither treatment stimulates the association of calnexin with substrate proteins. In addition, BiP associated proteins usually form aggregates, whereas calnexin associated proteins do not. This can be observed by sucrose gradient centrifugation. (Figure 2).

Only incompletely folded intermediates of transferrin, devoid of interchain disulphide bonds, are associated with calnexin although the interchain disulphide bonded species existed after maturation. (Figure 4a). Such interchain



aggregates have been observed in other studies on proteins folding *in vivo* and under defined conditions have been shown to be BiP associated. Thus, calnexin recognizes different features in secretory proteins that those recognized by BiP.

As noted above, one aspect of the present invention concerns increasing  
5 production of secretory proteins in either a biological preparation or a warm-blooded animal. As disclosed in the present invention, increase in the release of secretory proteins from the ER can be controlled by regulation of calnexin activity.

Any one of several techniques may be used to detect which secretory proteins are in association with calnexin including those described in detail in Harlow,  
10 *Antibodies: A Laboratory Manual*, Cold Spring Harbor Laboratory (1988), incorporated herein by reference. By way of example, suitable methods include immunoprecipitation, followed by peptide mapping and protein sequencing. (Figures 1, 2, and 3). Briefly, this entails pulse chasing cells and then immunoprecipitating, employing an anti-calnexin antibody. Anti-calnexin antibodies can be identified using  
15 any one of several techniques known in the art, e.g., those described in the Harlow (cited above).

Confirmation of specific interaction may be subsequently accomplished by dissociation of the coimmunoprecipitate with SDS and reprecipitation with secretory protein specific antibody. This technique is described in detail in Harlow, *Antibodies:*  
20 *A Laboratory Manual*, Cold Spring Harbor Laboratory (1988). However, when employing this technique it is important to use the appropriate detergent in precipitation. Suitable detergents include, by way of example, cholate, deoxycholate, digitonin and CHAPS to preserve the interaction, strong detergents, such as Triton X-100 and SDS, tend to destroy the interaction.

Calnexin associations may also be demonstrated or detected by cross-linking with bifunctional agents. This technique is especially for those interested in MHC1 and T cell receptors and is described in detail in Ahluwalia, *J. Biol. Chem.* 267:10914-10918 (1992); Degen, *J. Cell Biol.* 112:1099-1115 (1991); Hochstenbach, *Proc. Natl. Acad. Sci. USA* 89:4734-4738 (1992); Galvin, *Proc. Natl. Acad. Sci. USA*  
30 89:8452-8456 (1992).

Calnexin associations may also be demonstrated or detected using *in vitro* transcription and translation of cDNAs with translocation into microsomal vesicles to experimentally examine associated proteins with the endogenous calnexin present in these vesicles. This technique can be used to easily monitor secretory  
35 proteins for their potential to associate with calnexin.

Secretory proteins in transient association (*i.e.*, those which are released after folding) with calnexin include, by way of example,  $\alpha$ 1-antitrypsin,  $\alpha$ 1-

antichymotrypsin, transferrin, apo $\beta$ -100, complement 3 (C3), gp80 human complement-associated protein, and  $\alpha$ -fetoprotein.

Secretory proteins retained, *i.e.*, delaying their release into the luminal pathway, by calnexin in the ER include the unassembled T-cell receptor subunits, acetylcholine receptor subunits, HMG CoA reductase, murine class 1 histocompatibility protein (MHC1) (prior to association with  $\beta$ 2 microglobulin), and H2a subunit of asialoglycoprotein receptor and any mutant or misfolded glycoproteins. Misfolded or mutant glycoproteins are retained by calnexin and are ultimately degraded by ER resident proteases or transported to lysosomes for degradation.

Suppression of calnexin associations increases the rate of release of secretory proteins. Secretory proteins in transient association with calnexin are translocated through the membrane more quickly. Those which would ordinarily be retained by calnexin are released directly through the luminal pathway.

Calnexin associations can be suppressed using a "calnexin suppressor agent" which, in the context of the present invention, refers to any agent which functions to disrupt or inhibit calnexin associations with secretory proteins using any suitable means including calcium depletion, genetic manipulation, calnexin blocking antibodies, and insertion of antisense sequences. Suitable calnexin suppressor agents for specific secretory problems may be selected by any one of several means, including immobilizing calnexin either by direct lining or by biotinylation and binding to streptavidin to a column and then to use this to interact *in vitro* with secretory proteins, thereby establishing the binding parameters and any necessary cofactors for the release of proteins. These techniques are described in detail in Harlow, *Antibodies: A Laboratory Manual*, Cold Spring Harbor Laboratory (1988). Alternatively, the changing secretory protein presence due to calnexin associations may be evaluated in the biological preparation by immunoprecipitation of the specific secretory protein before and after the administration of the particular calnexin suppressing agent employed.

In one embodiment of the present invention, the calnexin suppressor agent acts by calcium depletion in the cytoplasm, or more preferably, in the ER. This can be accomplished using any suitable agent including an ionophore, such as valinomycin or nonactin, or a calcium channel blocker, such as verapamil, nifedipine or diltiazem.

In another embodiment of the present invention, calnexin associations are suppressed by administering to the biological preparation or warm-blooded animal a suitable glycosylation inhibitor, including by way of example, tunicamycin, castanospermine, nojiromycin, deoxynojiromycin, or swainsonine.

In another aspect of the present invention, calnexin associations are suppressed by decreasing the temperature of the biological preparation to about 30°C. For example, the retention of CFTR $\Delta$ F508, which depends on calnexin for folding and translocation, is temperature sensitive. Reducing the temperature of the cell line to  
5 30°C allows the CFTR $\Delta$ F508 channel to get to the plasma membrane, presumably by altering the association with calnexin. This technique is described in detail in Pind, *J. Biol. Chem.* 269:12784-12788 (1994).

In another aspect of the present invention, calnexin associations are suppressed by introducing an agonist or antagonist which will competitively inhibit  
10 binding of the unfolded secretory proteins. Suitable inhibitors include by way of example, amino acid analogues which incorporate into glycoproteins and produce unfolded proteins under *in vivo* conditions, such as azetidine-2-carboxylic acid. Calnexin recognizes these analogues, enters into association with them, and then are essentially incapacitated because they are unable to fold and subsequently release them.

15 In another aspect of the present invention, calnexin suppression is accomplished by treatment of cells with dithiothreitol or diamide to inhibit dissociation of secretory proteins from calnexin. This technique is described in detail in Wada, *J. Biol. Chem.* 269(10):7464-72 (1994).

An increase of secretory protein production, and hence the success of the  
20 method of calnexin suppressor agent, can be monitored using any one of several techniques, including evaluating the changing secretory protein presence in the biological preparation by immunoprecipitation of the specific secretory protein before and after the administration of the particular calnexin suppressing agent employed. This technique, and other suitable techniques, are described in detail in Harlow,  
25 *Antibodies: A Laboratory Manual*, Cold Spring Harbor Laboratory (1988).

Another aspect of the present invention involves a method of treating protein trafficking disorders. Protein trafficking disorders may be treated by suppressing or stimulating calnexin activity depending upon the etiology of the particular disorder.

30 For example, a warm-blooded animal suffering from a protein trafficking disorder would benefit from the suppression of calnexin activity if the disorder is one in which an otherwise biologically active protein is retained in the ER. Such disorders can be identified by an underproduction of secretory protein recognized by coimmuno-precipitation assays as described in Ou et al., *Nature* 364:771-776 (1993)  
35 and include, by way of example, familial hypercholesterolaemia (class 2 mutations in the LDL receptor), cystic fibrosis (CFTR $\Delta$ F508), Tay-Sachs disease, congenital sucrase isomaltase deficiency, and juvenile pulmonary emphysema.

Secretory proteins which are retained by calnexin within the ER may aggregate therein or be subject to degradation. These proteins may be identified by coimmunoprecipitation assays as described in Ou et al., *Nature* 364:771-776 (1993) and include, by way of example, acetylcholine receptor subunits, HMG CoA reductase, calnexin selectively binds mutant proteins, including, by way of example,  $\alpha$ -antitrypsin, LDL receptors, b-hexosaminidase, CFTR and influenza haemagglutinin and, more specifically, the Z mutation as well as the null Hong Kong mutation of  $\alpha$ -antitrypsin. The interaction of CFTR and the prolonged association of the DF508 mutant protein has been demonstrated and a model is that this association is responsible for the retention of this otherwise functional channel in the ER (Pind, *J. Biol. Chem.* 269: 12784-12788 (1994)).

Calnexin activity can be suppressed by any one of several suitable techniques, including administering a therapeutically effective amount of any one of the calnexin suppressor agents described in detail above. A therapeutically effective amount is determined based on *in vitro* experiments, followed by *in vivo* studies.

The calnexin suppressor agents may be administered by injection, infusion, orally, rectally, lingually, or transdermally. Depending on the mode of administration, the compounds or separate components can be formulated with the appropriate diluents and carriers to form of ointments, creams, foams, and solutions.

Injection may be intravenous, intramuscular, intracerebral subcutaneous, or intraperitoneal. For injection or infusion, the compound would be in the form of a solution or suspension. It would be dissolved or suspended in a physiologically compatible solution in a therapeutically effective amount.

For oral administration, the compounds may be in capsule, table, oral suspension, or syrup form. The tablet or capsules would contain a suitable amount to it comply with the general and preferred ratios set forth below. The capsules would be the usual gelatin capsules and would contain, in addition to the three compounds, a small quantity of magnesium stearate or other excipient.

Tablets would contain the a therapeutically effective amount of the compound and a binder, which may be a gelatin solution, a starch paste in water, polyvinyl pyrrolidone, polyvinyl alcohol in water or any other suitable binder, with a typical sugar coating.

Syrup would contain a therapeutically effective amount of the compound.

A warm-blooded animal suffering from a protein trafficking disorder which would benefit from calnexin stimulation can be identified by coimmunoprecipitation as described in detail in Ou et al., *Nature* 364:771-776 (1993)

and include, by way of example, viral cancers and other viral infections. The assembly of functional viral particles requires viral glycoproteins which are processed through the secretory pathway. This has been confirmed with VSV G protein and influenza HA protein in Hammond et al., *Proc. Natl. Acad. Sci. USA* 91(3):913-7 (1994) and in the  
5 case of HIV gp120. The HIV gp120 is slowly translocated through the ER because of its long association with the calnexin. Calnexin stimulating agents may prevent the disassociation of HIV gp120, trapping it in the ER.

In order to suppress the production of the viral particles, calnexin activity is stimulated by the administration of a therapeutically effective amount of a  
10 phosphorylating agent. Suitable phosphorylating agents include: casein kinase II, cdc2 kinase, and protein kinase C. A therapeutically effective amount may be determined based on *in vitro* experiments, followed by *in vivo* studies

Depending on the mode of administration, the calnexin stimulating agents can be formulated with the appropriate diluents and carriers to form suitable  
15 ointments, creams, foams, and solutions as described above. Methods of administration are the same as those outlined above.

The term "treatment" as used within the context of the present invention, refers to reducing or alleviating symptoms in a subject, preventing symptoms from worsening or progressing, inhibition or elimination of the causative agent, or prevention  
20 of the infection or disorder in a subject who is free therefrom. Thus, for example, treatment of infection includes destruction of the infecting agent, inhibition of or interference with its growth or maturation, neutralization of its pathological effects and the like. A disorder is "treated" by partially or wholly remedying the deficiency which causes the deficiency or which makes it more severe. An unbalanced state disorder is  
25 "treated" by partially or wholly remedying the imbalance which causes the disorder or which makes it more severe.

Within another aspect of the present invention, methods are provided for delivering vector constructs to a warm-blooded animal or biological preparation, wherein the vector construct directs the expression of calnexin, or calnexin lacking in  
30 cytosolic or transmembrane domains, thereby acting as a calnexin suppressor agent or a calnexin stimulating agent.

As utilized within the context of the present invention, "vector construct" refers to an assembly which directs the expression of a gene of interest. The vector construct must include promoter elements, and a sequence which, when  
35 transcribed, is operably linked to the gene of interest and acts as a translation initiation sequence. The vector construct may also include a signal which directs polyadenylation, one or more selectable markers, as well as one or more restriction sites.

Calnexin cDNA may be prepared as the gene of interest by obtaining either in full length or truncated mutants cloned from mammalian cDNA using any one of several methods described in Sambrook et al., *Molecular Cloning: A Laboratory Handbook*, Cold Springs Harbor Press (1989). In the context of the present invention, the gene of interest is composed of a portion of the gene encoding calnexin which, when expressed, would disrupt the normal functioning of calnexin, by way of example. Such a vector may serve to disrupt calnexin associations in both or either of its function of translocation and retention. It functions as a calnexin suppressor agent in any one of several ways, including, by way of example, by introducing vectors containing gene sequences designed to reduce the rate limiting step of association and folding for secretory proteins. Such sequences might include one which is lacking the cytosolic domain. It would as a calnexin stimulating agent by the introduction of vectors which encode additional calnexin sequences, thereby increasing the production and decreasing the rate of secretory protein production.

A wide variety of methods may be utilized in order to deliver vector constructs of the present invention to a warm-blooded animal or biological preparation. For example, within one embodiment of the invention, the vector construct is inserted into a retroviral vector, which may then be administered directly into a warm-blooded animal or biological preparation. Representative examples of suitable retroviral vectors and methods are described in more detail in the following U.S. patents and patent applications, all of which are incorporated by reference herein in their entirety: "DNA constructs for retrovirus packaging cell lines," U.S. Patent No. 4,871,719; "Recombinant Retroviruses with Amphotropic and Ecotropic Host Ranges," PCT Publication No. WO 90/02806; and "Retroviral Packaging Cell Lines and Processes of Using Same," PCT Publication No. WO 89/07150.

Vector constructs may also be carried by a wide variety of other viral vectors, including for example, recombinant vaccinia vectors (U.S. Patent Nos. 4,603,112 and 4,769,330), recombinant pox virus vectors (PCT Publication NO. WO 89/01973), poliovirus (Evans et al., *Nature* 339:385-388, 1989; and Sabin, *J. Biol. Standardization* 1:115-118, 1973); influenza virus (Luytjes et al., *Cell* 59:1107-1113, 1989; McMichael et al., *N. Eng. J. Med.* 309:13-17, 1983; and Yap et al., *Nature* 273:238-239, 1978); adenovirus (Berkner, *Biotechniques* 6:616-627, 1988; Rosenfeld et al., *Science* 252:431-434, 1991); adeno-associated virus (Samulski et al., *J. Vir.* 63:3822-3828, 1989; Mendelson et al., *Viol.* 166:154-165, 1988); herpes (Kit, *Adv. Exp. Med. Biol.* 215:219-236, 1989); and HIV (Poznansky, *J. Virol.* 65:532-536, 1991).

In addition, vector constructs may be administered to warm-blooded animals or biological preparations utilizing a variety of physical methods, such as

lipofection (Felgner et al., *Proc. Natl. Acad. Sci. USA* 84:7413-7417, 1989), direct DNA injection (Acsadi et al., *Nature* 352:815-818, 1991); microprojectile bombardment (Williams et al., *PNAS* 88:2726-2730, 1991); liposomes (Wang et al., *PNAS* 84:7851-7855, 1987); CaPO<sub>4</sub> (Dubensky et al., *PNAS* 81:7529-7533, 1984); or  
5 DNA ligand (Wu et al., *J. Biol. Chem.* 264:16985-16987, 1989).

A therapeutic amount may be determined by *in vitro* experimentation followed by *in vivo* studies.

Yet another aspect of the present invention concerns a method of treating protein trafficking disorders by targeting a suitable calnexin suppressor agent,  
10 calnexin stimulating agent, or any other agent designed to monitor calnexin associations and secretory protein production. For the purposes of illustrating this aspect of the invention, "targeting moiety" refers to any polypeptide molecule from a dipeptide up to, and including, any protein or protein containing compound or any functional equivalent, including those without an amino acid basis, that binds to a  
15 desired target site. In a preferred embodiment of the present invention, this method is utilized to deliver calcium depletion agents directly to the ER.

Suitable targeting moieties include any moiety which specifically binds to a cell surface receptor preferably an ER membrane receptor and is capable of affecting the protein trafficking pathway. Suitable targeting moieties include proteins,  
20 peptides, and non-proteinaceous molecules. Representative examples of suitable targeting moieties include antibody and antibody fragments; peptides such as bombesin, gastrin-releasing peptide, cell adhesion peptides, substance P, neuromedin-B, neuromedin-C and metenkephalin; hormones, including EGF, alpha- and beta-TGF, estradiol, neurotensin, melanocyte stimulating hormone, follicle stimulating hormone,  
25 luteinizing hormone, and human growth hormone; proteins corresponding to ligands for known cell surface receptors, including low density lipoproteins, transferrin and insulin; fibrinolytic enzymes; and biological response modifiers, including interleukin, interferon, erythropoietin and colony stimulating factor also constitute targeting moieties of this invention. Moreover, analogs of the above targeting moieties that  
30 retain the ability to specifically bind to a cell surface receptor, preferably an ER membrane receptor, are suitable targeting moieties. Essentially any analog having about the same affinity as a target moiety, herein specified, could be used in synthesis of receptor modulators.

In a preferred embodiment, the targeting moiety is an antibody or  
35 antibody fragment. Particularly preferred antibodies include monoclonal antibodies having high specificity for an ER membrane receptor and the ability to catalyze the internalization of the conjugate. Suitable antibodies may be selected by assays for

internalization known in the art and described in detail in *Cancer Treat. Res.* 68:23, 1993; *Leuk. Lymph.* 9:293, 1993; *Anticancer Drug Des.* 7:427, 1992 (incorporated herein by reference). An anti-calnexin antibody can be produced by methods well known in the art and described in Harlow et al., *Antibodies: A Laboratory Manual*, Cold Spring Harbor Laboratory (1988), incorporated herein by reference. The immunoconjugate comprises at least one agent coupled to an anti-calnexin antibody. A single or multiple molecules of one type of agent may be coupled to an antibody. Alternatively, more than one type of agent may be coupled to an antibody.

The basic requirement of the targeting moiety is that the polypeptide increase the specificity of the therapeutic agent toward the desired site, either *in vivo* and *in vitro*, depending on the application. Thus, the targeting polypeptides can include proteins having certain biological activities rendering them specific for desired sites.

Suitable targeting polypeptides include but are not limited to receptors, hormones, lymphokines, growth factors, substrates, particularly compounds binding to surface membrane receptors. Suitable receptors include surface membrane receptors, antibodies, enzymes, naturally occurring receptors, lectins, and the like. Of particular interest are immunoglobulins or their equivalents.

The targeting moiety may be readily labeled or conjugated to a wide variety of molecules, including for example, toxins, fluorescent molecules, magnetic resonance enhancers, and radionuclides. Representative examples of toxins include ricin, abrin, diphtheria toxin, cholera toxin, gelonin, pokeweed antiviral protein, tritin, Shigella toxin, and Pseudomonas exotoxin A. Representative examples of fluorescent molecules include fluorescein, phycoerythrin, rodamine, Texas red and luciferase. Representative examples of radionuclides include Cu-64, Ga-67, Ga-68, Zr-89, Ru-97, Tc-99m, Rh-105, Pd-109, In-111, I-123, I-125, I-131, Re-186, Re-188, Au-198, Au-199, Pb-203, At-211, Pb-212 and Bi-212. Methods for labeling or conjugating the targeting moiety to any of the above described compounds or compositions may be readily accomplished by one of ordinary skill in the art given the disclosure provided herein (*see also* Trichothecene Antibody Conjugate, U.S. Patent No. 4,744,981; Antibody Conjugate, U.S. Patent No. 5,106,951; Fluorogenic Materials and Labeling Techniques, U.S. Patent No. 4,018,884; Metal Radionuclide Labeled Proteins for Diagnosis and Therapy, U.S. Patent No. 4,897,255; and Metal Radionuclide Chelating Compounds for Improved Chelation Kinetics, U.S. Patent No. 4,988,496; *see also* Inman, *Methods in Enzymology*, Vol. 34, *Affinity Techniques, Enzyme Purification: Part B*, Jakoby and Wichek (eds.), Academic Press, New York, P. 30, 1974; *see also* Wilchek and Bayer, "The Avidin-Biotin Complex in Bioanalytical Applications," *Anal. Biochem.* 171:1-32, 1988).



A calnexin suppressor or stimulating agent may be coupled to, i.e., covalently bonded to, the targeting moiety either directly or via a linker group. It will be evident to those of ordinary skill in the art that a variety of bifunctional reagents may be employed as the linker group. A preferred method is described in U.S. Patent No. 5,094,848 (the '848 patent), incorporated herein by reference. Briefly, the '848 patent discloses a method of binding a therapeutic agent by a cleavable diphosphate or amidated diphosphate linkage to a protein specific for the targeting site, guiding the therapeutic agent directly to the targeted site. The conjugate so created possesses the ability to selectively deliver one or more agents to the ER.

The conjugate is administered in a therapeutically effective amount in a suitable excipient. The effective amount for a particular conjugate may be determined based on *in vitro* experiments followed by *in vivo* studies. Depending on the mode of administration, the complex can be formulated with the appropriate diluents and carriers to form ointments, creams, foams, and solutions. Methods of administration are identical to those outlined above.

In another aspect of the present invention, the a targeting moiety conjugated to a reporting group may be used to detect protein trafficking disorders. By administering a warm-blooded animal or a biological preparation an effective amount of such a conjugate, wherein the agent is a reporter group, such as a radionuclide or magnetic resonance enhancer, and detecting the level of the reporter group, the level of calnexin activity can be ascertained.

The effective amount of conjugate necessary may be determined based upon *in vitro* experiments, followed by *in vivo* studies. The step of detecting a radionuclide is typically performed with an imaging camera using a detector appropriate for the particular radionuclides type of emission. These techniques are described in detail in Harlow et al., *Antibodies: A Laboratory Manual*, Cold Spring Harbor Laboratory (1988), incorporated herein by reference. The step of detecting a magnetic resonance imaging enhancer is likewise well known in the art.

By detecting the levels of calnexin in the warm-blooded animals or biological preparation using these well-known techniques and the disclosure herein, those of ordinary skill in the art will be able to gauge calnexin levels and identify protein trafficking disorders or the risk thereof.

The following examples are offered by way of illustration, and not by way of limitation.

## EXAMPLES

### EXAMPLE 1: Antibody Production

5                Rabbit antibodies were raised to a synthetic peptide corresponding to the C-terminus of calnexin, i.e., residues 555-573 plus a cysteine residue at the carboxyl terminus (Multiple Peptide Systems, San Diego, CA). The peptide was conjugated to keyhole limpet hemacyanin using the cross-linker succinimidyl-4-P-maleimidophenyl butyrate (SMPB) (Pierce). Specific antibodies to the calnexin peptide were purified  
10 from the antiserum with peptide-affinity columns. HepG2 cells were preincubated with methionine-free DMEM containing 10% dialyzed FGS for 30 minutes, and then labeled with 50  $\mu$ Ci/ml Tran<sup>35</sup>S-label (ICN) in methionine-free media for 30 minutes. Cells were rinsed twice with cold PBS and once with HBS (50 mM Hepes-NaOH (pH. 7.5), 200 mM NaCl). For non-denaturing immunoprecipitations, cells were lysed in HBS  
15 buffer containing 2% sodium cholate, 1 mM PMSF, 5  $\mu$ g/ml each of aprotinin and leupeptin. Cell lysates were precleared with preimmune serum and Pansorbin (Calbiochem). Affinity purified anti-calnexin was added to the supernatant 2h, (4°C) followed by protein A-agarose (Calbiochem) and rotated for 1 h at 4°C. Beads were washed three times with HBS containing 0.5% cholate and once with HBS. For  
20 immunoprecipitations under denaturing conditions, cells were lysed in HBS containing 1% SGS, lysates were heated in boiling water for 5 minutes and passed 15 times through a 27 gauge needle. After centrifugation, the supernatants were diluted with 10 volumes of HBS containing 1% Triton X-100, and immunoprecipitated with anti-calnexin as described above, except that the HBS washing buffer contained 1% Triton  
25 X-100, 0.5% deoxycholate (DOC) and 0.1% SDS. Sequential immunoprecipitations were carried out first under non-denaturing conditions as described above. 0.2 ml HBS containing 1% SDS was then added to the protein A-agarose beads and heated at 90°C for 3 minutes followed by the addition of 2 ml of HBS containing 1% Triton X-100. After centrifugation, the supernatant was used for a second immunoprecipitation with  
30 specific antibodies to proteins secreted by HepG2 cells (Calbiochem) as indicated above. Immune-complexes were recovered with protein A-agarose, and washed three times with HBS containing Triton X-100, 0.5% DOC, and 0.1 SDS. All immunoprecipitates were analyzed in 7% or 8% SDS-PAGE gels followed by treatment with Enhance (DuPont NEN).

**EXAMPLE 2: Association of Secretory  
Glycoproteins with Calnexin**

This example demonstrates the association of secretory glycoproteins  
5 with calnexin.

HepG2 cells which have been labeled with Tran<sup>35</sup>S-label for 30 minutes followed by cell lysis and incubation with antibodies to  $\alpha$ 1-antitrypsin, both the 52 kDa ER form and the 55 kDa Golgi form of  $\alpha$ 1-antitrypsin were precipitated with only the former being sensitive to endo H (Figure 1a, lanes 1,2). Quantitations revealed that ca.  
10 50% of the  $\alpha$ 1-antitrypsin had reached terminal glycosylating compartments of the Golgi apparatus during this labeling period. Immunoprecipitation of cell lysates under denaturing conditions with affinity purified antibodies raised either to residues 555-573 of calnexin (Figure 1a, lane 3) or residues 487-505 only precipitated calnexin.

However, when immunoprecipitations were carried out with calnexin  
15 antibody under non-denaturing conditions, several proteins were coprecipitated (Figure 1a, lane 4). The major coprecipitated proteins migrated with mobilities of 52 kDa, 66 kDa, 74 kDa, 175 kDa, and ca. 230 kDa (calnexin migrates at 90 kDa). The ER forms of the major secretory glycoproteins of HepG2 cells correspond to similar mobilities, i.e.,  $\alpha$ 1-antitrypsin, 52 kDa;  $\alpha$ 1-antichymotrypsin, 52 kDa;  $\alpha$ -fetoprotein, 66 kDa;  
20 transferrin, 74 kDa; C3, 175 kDa; apo $\beta$ -100, ca. 230 kDa. This observation predicts that most of the major secretory glycoproteins in HepG2 cells are capable of binding to calnexin. To test this, we designed a sequential immunoprecipitation protocol to identify calnexin associated proteins as described in the legend to Figure 1.

Following immunoprecipitation with anti-calnexin in the presence of  
25 cholate, the calnexin associated proteins (Figure 1a, lane 4) were eluted with SDS followed by immunoprecipitation under denaturing conditions with antibodies specific to the respective secretory proteins (Figure 1a, lanes 5-11). Remarkably,  $\alpha$ 1-antitrypsin,  $\alpha$ 1-antichymotrypsin, transferrin, C3 apo $\beta$ -100, and  $\alpha$ -fetoprotein were found to be coimmunoprecipitated with calnexin. Albumin was not immunoprecipitated from the  
30 calnexin eluted proteins (Figure 1a, lanes 11) although anti-albumin antibodies clearly precipitated the protein from total cell lysates (lane 12). Quantitation revealed that after 10 minutes of radiolabeling, 25% of newly synthesized  $\alpha$ 1-antitrypsin, 30% of transferrin and 30% of C3 were coprecipitated with calnexin. As the efficiency of total cellular calnexin immunoprecipitation under these conditions was only 60%, we  
35 conclude that at least 50% of each of the newly synthesized secretory glycoproteins were calnexin associated.

However, radiolabeled calnexin was not detected in immunoprecipitates with antibodies to the secretory glycoproteins (see Figure 1b, lanes 1, 3) because calnexin has a relatively long half-life ( $t_{1/2} > 24$  h) and is not efficiently radiolabeled during a short labeling period. Thus, these newly synthesized secretory glycoproteins enter the ER and bind with high efficiency to preexisting calnexin.

### EXAMPLE 3: Specificity of Calnexin

The non-glycosylated major secretory protein of HepG2 cells, albumin, was not associated with calnexin, yet the related glycosylated protein  $\alpha$ -fetoprotein was, suggesting that only glycoproteins may bind to calnexin. The glycosylation inhibitor tunicamycin was used to evaluate if proteins were selected for association with calnexin because of their N-linked glycosylation. Tunicamycin addition to cells led to the inhibition of glycosylation of  $\alpha$ 1-antitrypsin and transferrin (Figure 1b, lanes 1,3 cf. lanes 2,4) and these as well as most other proteins were not coimmunoprecipitated with calnexin (Figure 1b, cf. lanes 5,6). That only glycoproteins associated with calnexin was also demonstrated by the adsorption of calnexin eluted proteins to Concanavalin-A Sepharose. The major polypeptides associated with calnexin were those which bound to Concanavalin-A Sepharose while calnexin (itself not a glycoprotein) was not bound.

In order to evaluate if newly synthesized glycoproteins were binding with calnexin or formed part of a larger network, the sedimentation properties of calnexin associated glycoproteins were assessed. Sucrose density gradients of lysates of cells labeled for 10 minutes with or without tunicamycin were centrifuged to near equilibrium. Fractions were collected and immunoprecipitated with anti-calnexin (Figures 2a, b), anti- $\alpha$ 1-antitrypsin (Figures 2c, d) and anti-albumin antibodies (Figures 2d, f). The distribution of the radiolabeled calnexin associated proteins was compared to that of calnexin as determined by immunoblot analysis of the fractions (Figures 2g, h). In control cells (without tunicamycin), most calnexin (Figure 2g) is found in fractions 3, 4 which also contain majority of the radiolabeled proteins associated with calnexin (Figure 2a, lanes 3,4). The highest level of calnexin associated  $\alpha$ 1-antitrypsin (52 kDa, Figures 2a, c) was found in fractions 2, 3 while transferrin (74 kDa, Figure 2a) was predominantly in fractions 3, 4; C3 (175 kDa) was in fractions 4, 5 and apo $\beta$ -100 ( $\approx$ 230 kDa) in fractions 5, 6. Hence, calnexin associated glycoproteins of greater molecular mass separated from those of lower mass as would be expected for individual associations of each glycoprotein with calnexin (there were exceptions; for example, glycoproteins of 28, 30, 35 kDa which we have not identified were found in lanes 3-5 of Figure 2a) indicating that they form part of a large complex.

The majority of newly synthesized radiolabeled calnexin found in fraction 2 (Figure 2a) did not correspond to the sedimentation of the majority of calnexin as determined by immunoblot (fraction 3, Figure 2g) showing that newly synthesized glycoproteins associated with pre-existing calnexin which was not radiolabeled. After tunicamycin treatment most calnexin associations were abolished with the sedimentation of calnexin itself being slightly affected (cf. g, h) now having a distribution close to that of newly synthesized calnexin (b cf. h). The sedimentation of the 52 kDa band which coimmunoprecipitates with calnexin (Figure 2a) correspond to that of  $\alpha$ 1-antitrypsin (Figure 2c) which itself showed an increased sedimentation in sucrose gradients of lysates from tunicamycin treated cells despite a lower mass of the protein (48 kDa, Figure 2d). By contrast, newly synthesized albumin (unassociated with calnexin) showed similar sedimentation properties whether from control (Figure 2e) or tunicamycin treated cells (Figure 2f). Hence, no large network of ER proteins was responsible for the calnexin associations.

**EXAMPLE 4: Kinetics of Calnexin Association**  
**with Newly Synthesized Glycoproteins as**  
**Compared to Endo H Resistance**

Pulse-chase studies (Figure 3a) demonstrated the transient association of newly synthesized proteins with calnexin. However, some proteins dissociated from calnexin more quickly than others. By sequential immunoprecipitation (see legend to Figure 1), the  $t^{1/2}$  of  $\alpha$ 1-antitrypsin association (52 kDa) with calnexin was determined to be 5 minutes (Figure 2b, lower panel). Transferrin was associated with calnexin with a  $t^{1/2}$  of ca. 35 minutes (Figure 3c), while C3 showed an association with calnexin with a  $t^{1/2}$  of 25 minutes (Figure 3c) as did apo $\beta$ -100 ( $t^{1/2}$  ca. 25 minutes). For all the proteins tested, maximal binding to calnexin did not appear immediately after the pulse but only after 2-20 minutes of chase. This delay can be explained by the time needed to complete the translation of nascent polypeptide chains (14) with larger proteins (e.g., C3, 175 kDa) requiring a longer time for completion than smaller proteins such as  $\alpha$ 1-antitrypsin (52 kDa).

The acquisition of endo H resistance was used as a measure of the time taken by secretory proteins for ER to Golgi transport.  $\alpha$ 1-antitrypsin entered Golgi terminal glycosylating compartments as early as 10 minutes with a  $t^{1/2}$  of ca. 20 minutes observed (Figure 3b, upper panel). For C3, a  $t^{1/2}$  of 60 minutes was found and for transferrin entry was as early as 30 minutes but the  $t^{1/2}$  of acquisition of endo H resistance was extraordinarily long, i.e., >120 minutes. Therefore, there was a

differential lag period between the dissociation of these glycoproteins from calnexin and the acquisition of endo H resistance.

**EXAMPLE 5: Association of Misfolded and Incompletely  
Folded Glycoproteins with Calnexin**

5                   The different times of association of glycoproteins with calnexin may be related to their different rates of folding in the ER. Only incompletely folded proteins were tested to determine if calnexin was associated thereto. Two experimental  
10 approaches were followed. In the first, the incorporation of the proline analogue, azetidine-2-carboxylic acid (Azc) into proteins was used to interfere with their folding. This has been used previously to demonstrate stable association of proteins with the cytosolic chaperone HSP72 (Beckman et al., *Science* 248:850-854). In HepG2 cells, pulse labeled in the presence of Azc and chased for various times in the absence of the  
15 analogue, newly synthesized proteins remained bound to calnexin (Figure 4). Albumin in Azc treated cells still did not associate with calnexin. Thus, the association of newly synthesized proteins with calnexin depends on their glycosylation but misfolded glycoproteins once bound are released much more slowly.

                  The second approach directly examined whether calnexin associates  
20 only with incompletely folded glycoproteins during normal protein maturation. Lodish and Kong, *J. Biol. Chem.* 266:14835-14838 (1991), have defined conditions to distinguish incompletely folded intermediates during transferrin maturation in the ER of HepG2 cells. They used non-reducing gels to measure the differences in the mobilities of transferrin during disulfide bond rearrangement (there are 19 disulfide  
25 bonds in transferrin (Morgan et al., *J. Biol. Chem.* 260:14739-14801 (1985))). After pulse labeling and chase, transferrin immunoprecipitates revealed in reducing gels a sharp band of 74 kDa (Figure 5a, upper) which was endo H sensitive. On non-reducing gels (Figure 5a, lower), the major portion of transferrin migrated as a broad, diffuse set of bands at early times of chase (2-20 minutes). This represents the incompletely  
30 folded forms of transferrin (c. Gradually, these broad bands were chased to a faster migrating sharper band corresponding to the ER folded form of transferrin with a uniform species of disulfide bonds (Lodish et al., *J. Biol. Chem.* 266:14835-14838 (1991)). Quantitation revealed that ca. 50% of the pulse-labeled transferrin was folded after 30 minutes of chase. The form of transferrin which is in association with calnexin  
35 was determined by sequential immunoprecipitation. Transferrin associated with calnexin migrates as a single sharp band on reducing gels (Figure 5b, upper) but in non-reducing gels (Figure 5b, lower) only the broad band which represents incompletely

folded transferrin is seen. No completely folded transferrin was found in association with calnexin even after 30 minutes of chase. Some aggregates of transferrin were also observed over the time course of the chase (Figure 1a, lower), but these were not associated with calnexin (Figure 5b, lower). Hence, calnexin only associates with  
5 incompletely folded intermediates of transferrin during maturation but not with aggregated molecules.

From the foregoing it will be evident that although specific embodiments of the invention have been described herein for the purposes of illustration, various modifications may be made without deviating from the spirit and  
10 scope of the invention.

Claims

1. A method of increasing secretory protein production in a biological preparation, comprising:  
administering a calnexin suppressor agent to a biological preparation in an amount effective to increase secretory protein production.
2. The method of claim 1 wherein said agent acts by depleting calcium.
3. The method of claim 1 wherein said agent is a vector construct which is capable of expressing a modified calnexin gene which lacks the sequences encoding cytosolic and transmembrane domains
4. The method of claim 1 wherein said agent increases the production of a secretory protein selected from the group consisting of a coagulation factor, a blood factor, a hormone receptor and an ion channel.
5. The method of claim 1 wherein the agent increases the production of a secretory protein selected from the group consisting of  $\alpha$ 1-antitrypsin,  $\alpha$ 1-antichymotrypsin,  $\alpha$ -fetoprotein, transferrin, Complement 3 (C3), and apo $\beta$ -100.
6. An agent which decreases calnexin associations for use in the manufacture of a medicament for increasing secretory protein production in a warm-blooded animal.
7. The agent of claim 6 wherein the agent acts by depleting calcium.
8. The agent of claim 6 wherein the agent is a vector construct that directs the expression of a calnexin gene which lacks sequences encoding cytosolic and transmembrane domains.
9. The agent of claim 6 wherein the agent increases the production of a secretory protein selected from the group consisting of a coagulation factor, a blood factor, a hormone receptor and an ion channel.



10. The agent of claim 6 wherein the agent increases the production of a secretory protein selected from the group consisting of  $\alpha$ 1-antitrypsin,  $\alpha$ 1-antichymotrypsin,  $\alpha$ -fetoprotein, transferrin, Complement 3 (C3), and apo $\beta$ -100.

11. A composition that includes an agent which decreases calnexin activity for use in the manufacture of a medicament for treating a warm-blooded animal for protein trafficking disorders which require reduction of calnexin associations.

12. The composition of claim 11 wherein the composition includes an agent which decreases calnexin activity by calcium depletion.

13. The composition of claim 11 wherein the agent is a vector construct that directs the expression of a calnexin gene which lacks sequences encoding cytosolic and transmembrane domains.

14. The composition of claim 11 wherein the protein trafficking disorder is selected from the group consisting essentially of: cystic fibrosis, juvenile pulmonary emphysema, Tay-Sachs disease, congenital sucrase isomaltase deficiency and familial hypercholesterolaemia.

15. A composition that includes an agent which stimulates calnexin activity for use in the manufacture of a medicament for treating a warm-blooded animal for a protein trafficking disorder which require stimulation of calnexin associations.

16. The composition of claim 15 wherein the composition stimulates calnexin activity by phosphorylation of calnexin.

17. The composition of claim 15 wherein the agent is a vector construct which is capable of expressing the calnexin gene.

18. A conjugate comprising an agent linked to a moiety which targets the conjugate to the endoplasmic reticulum for use in the manufacture of a medicament for treating a warm-blooded animal for a protein trafficking disorder.

19. The conjugate of claim 18 wherein the targeting moiety is an antibody that selectively binds to the endoplasmic reticulum.

20. The conjugate of claim 19 wherein said agent is selected from the group consisting of radionucleotides, pharmaceuticals, magnetic resonance enhancers, and toxins.

21. The conjugate of claim 19 wherein the protein trafficking disorder is selected from the group consisting essentially of: cystic fibrosis, juvenile pulmonary emphysema, Tay-Sachs disease, congenital sucrase isomaltase deficiency, and familial hypercholesterolaemia.

22. A method of diagnosing a protein trafficking disorder in a warm-blooded animal, comprising:

exposing an anticalnexin antibody, containing a reporter group, to the ER of a warm-blooded animal under conditions and for a time sufficient to permit binding to calnexin; and

detecting the amount of calnexin and determining therefrom the presence of a protein trafficking disorder.

23. A method of diagnosing a protein trafficking disorder in a biological preparation, comprising:

exposing an anticalnexin antibody, containing a reporter group, to the biological preparation under conditions and for a time sufficient to permit binding to calnexin; and

detecting the amount of calnexin and determining therefrom the presence of protein trafficking disorder.

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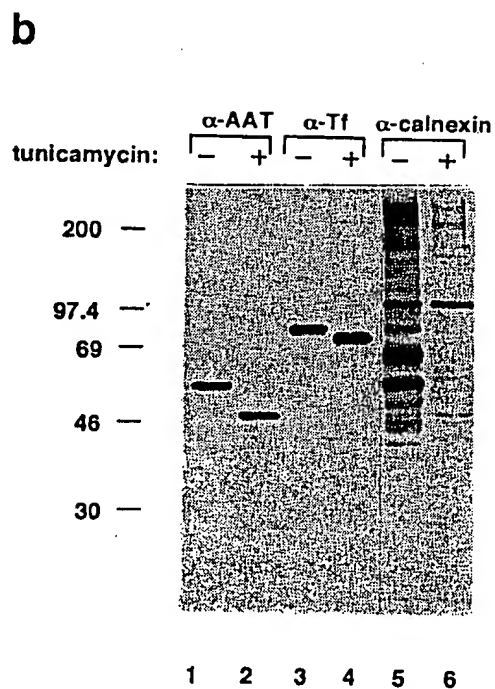
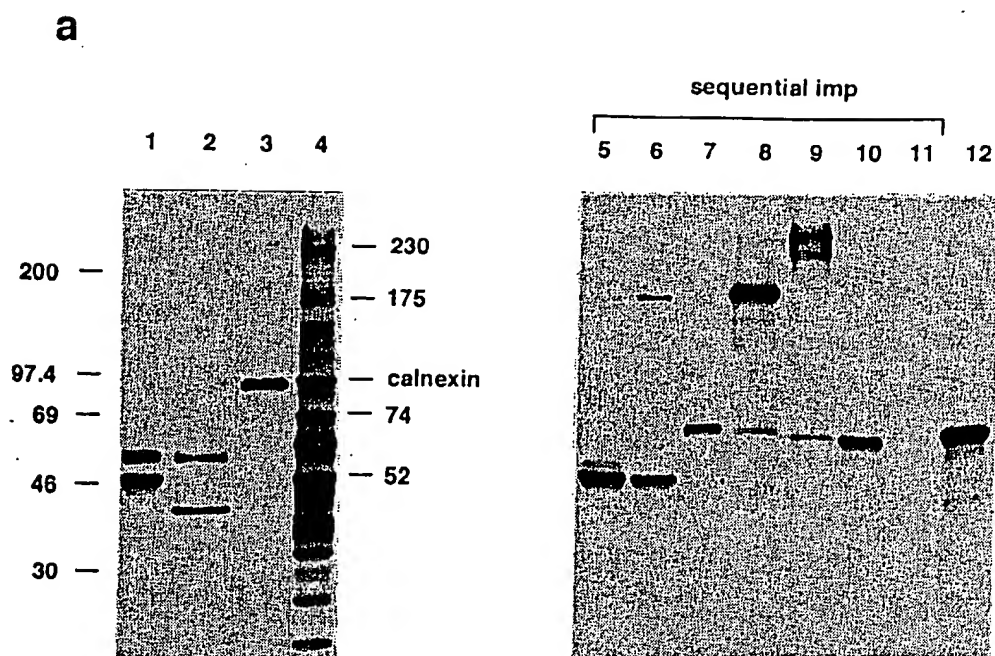
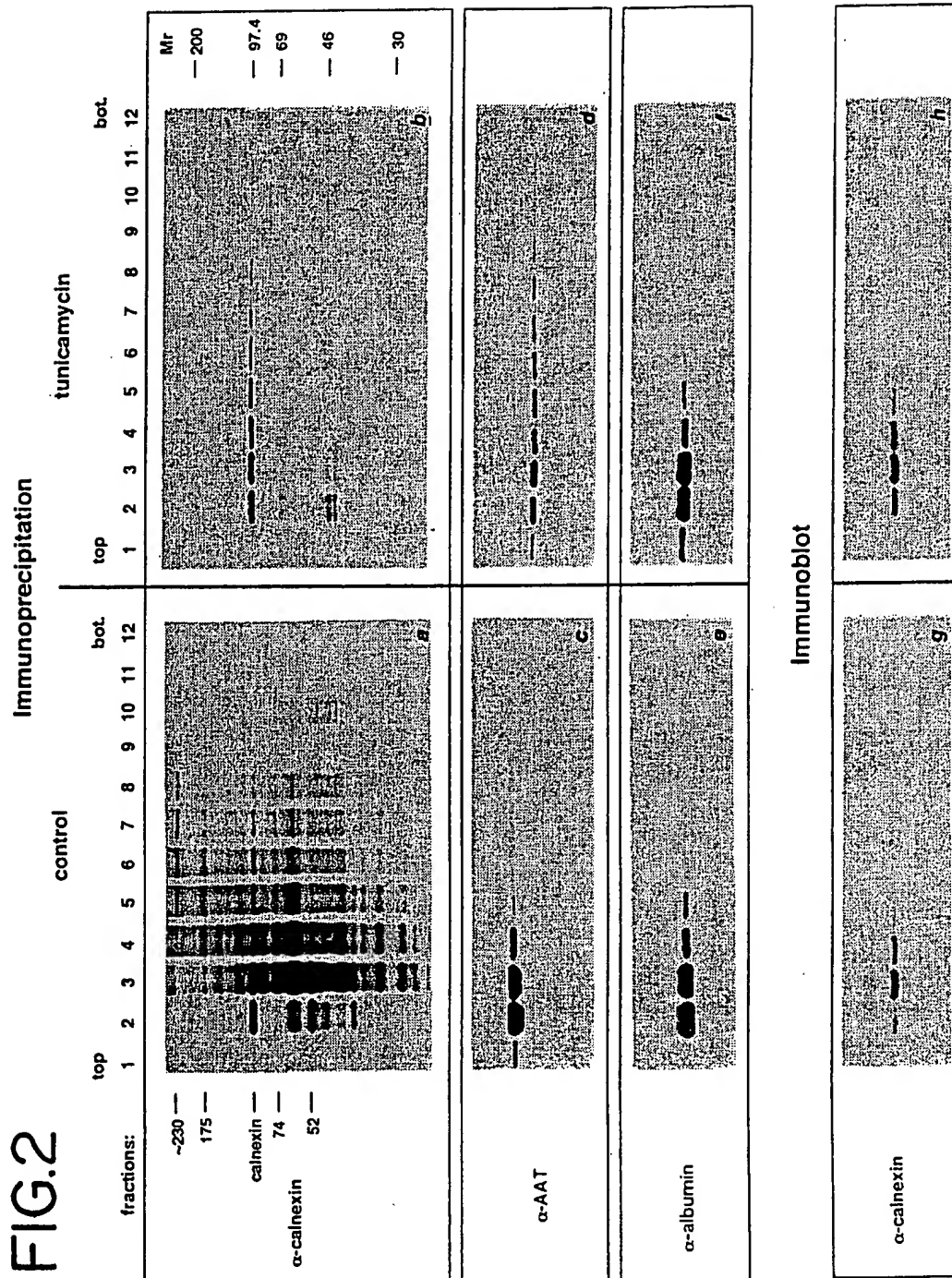


FIG.1

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FIG.2



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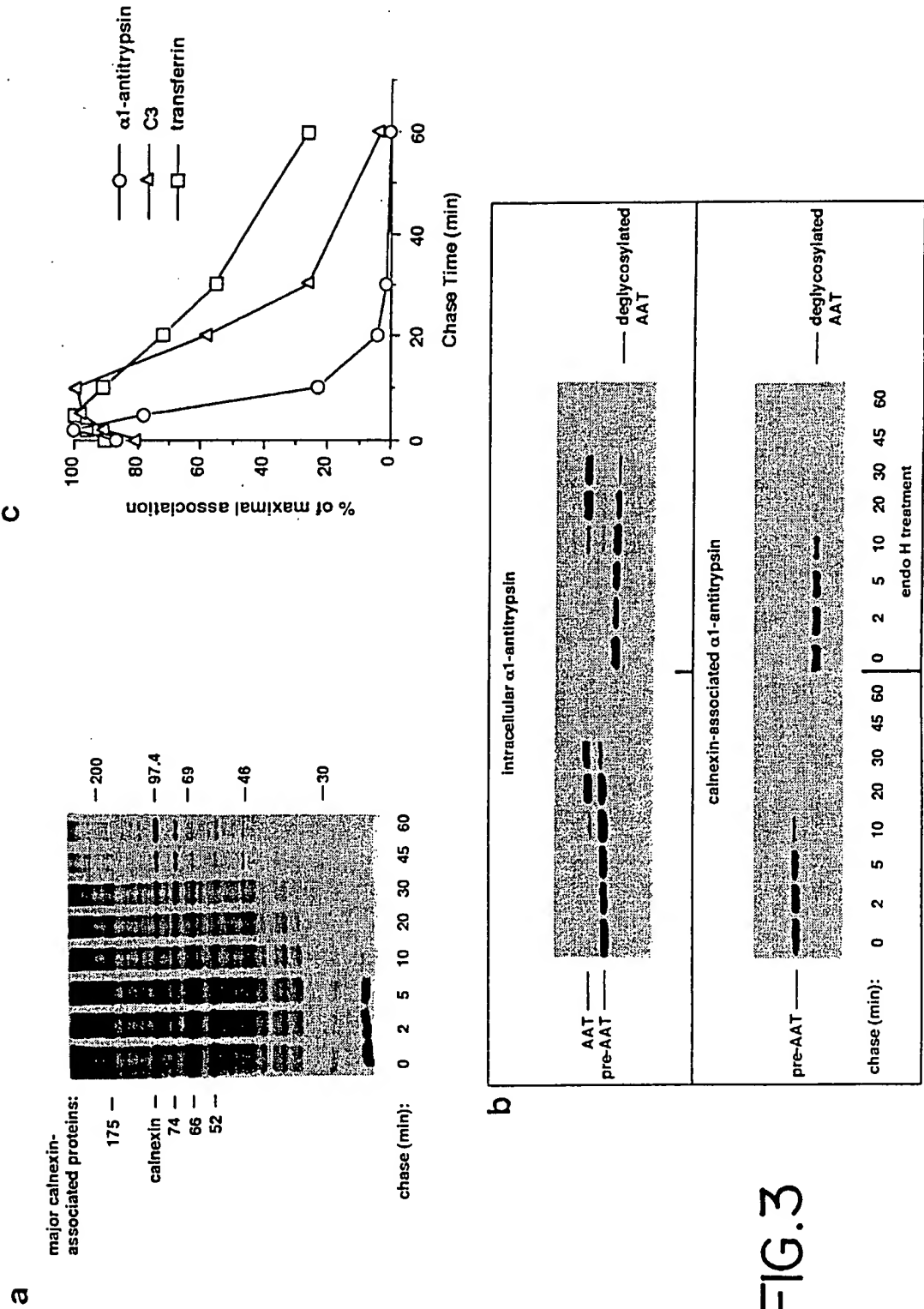


FIG.3

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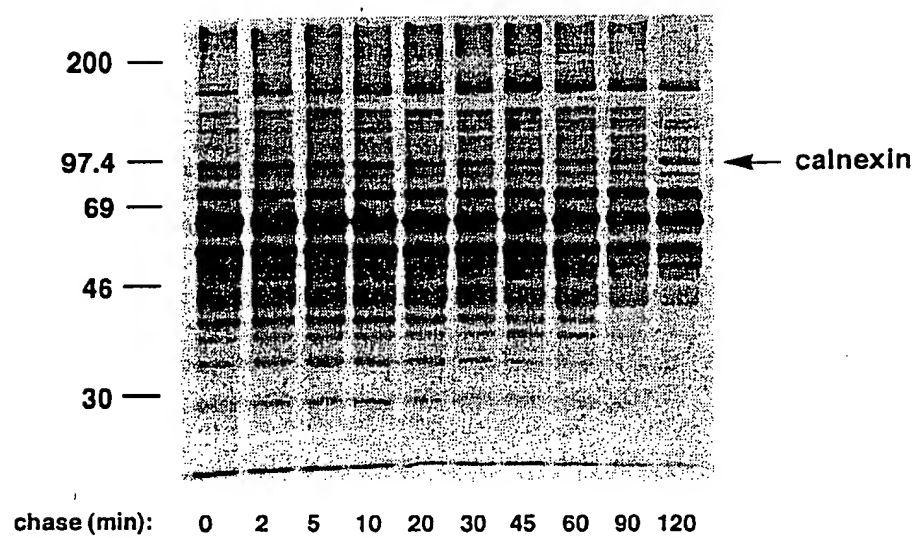
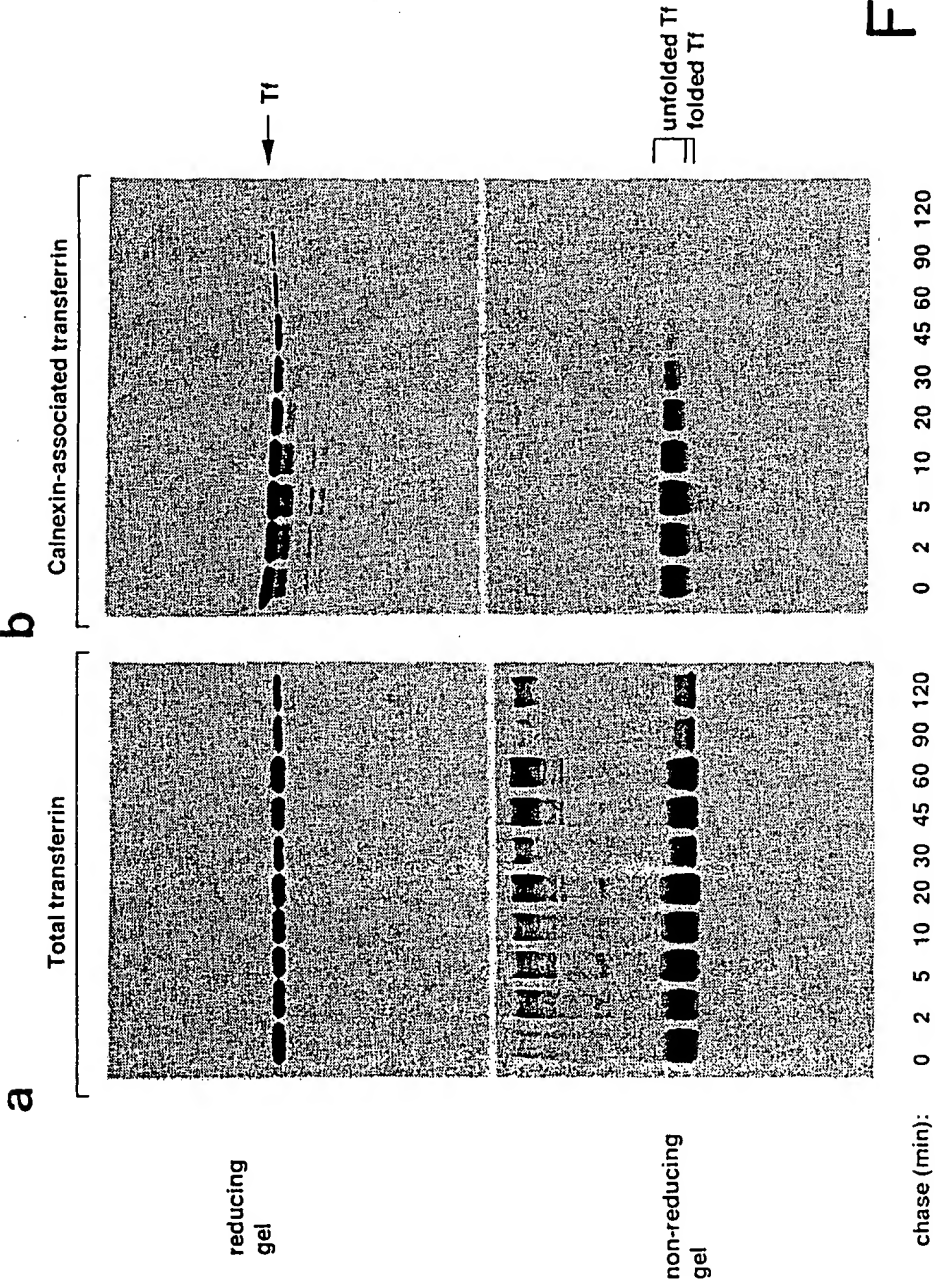


FIG.4

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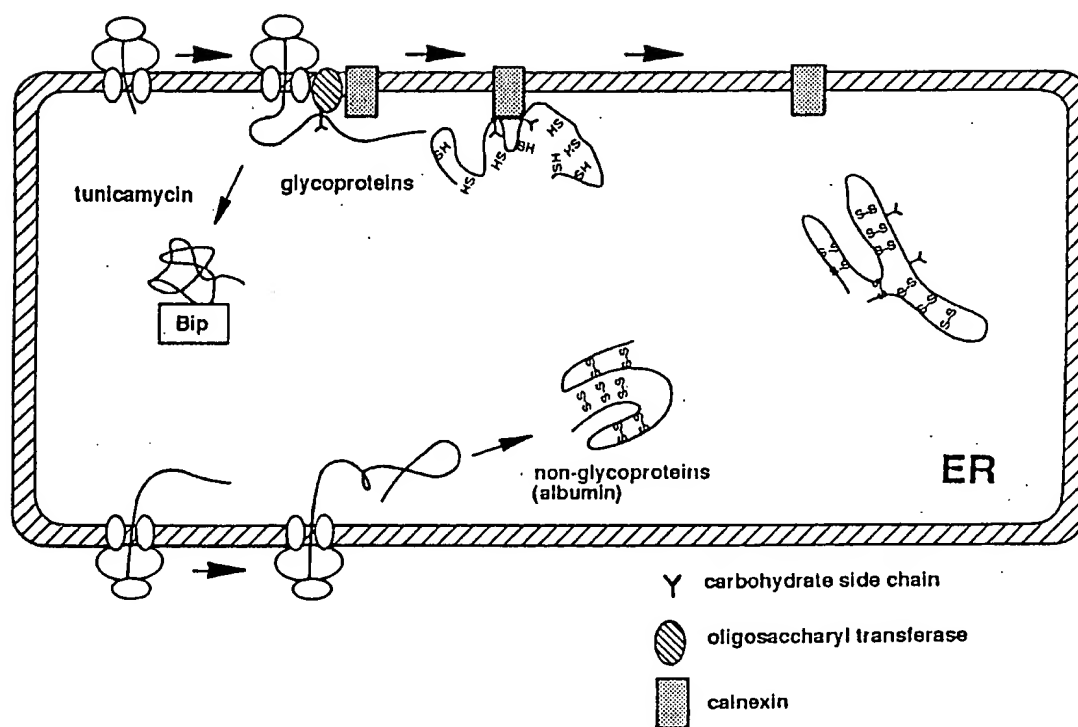


FIG.6

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	H E G K W L	-20
CTG TGT ATG TTA CTC GTG CTT GGA ACT ACT ATT GTT GAC CGT CAT GAA GGA	L C M L L V L V L G T T I V Q A H E G	170
	-10	-1
CAT GAT GAT GAT ATG ATT GAT ATT GAG GAC GAC CTC GAT GAT GTT ATT GAA	H D D D H L D I E D D L D D V I E	221
	10	20
GAG GTA GAA GAC CTC AAA TCA AAA CCA GAT ACC AGC GCT CCT ACA TCT CCA	E V E D S K S K P D T S A P T S P	272
	30	
AAG GTC ACC TAT AAA GCT CCA GTT CCT TCC GGG GAA GTG TAT TTT GCT GAT	K V T Y K A P V P S G E V Y F A D	323
	40	50
TCC TTT GAC GGA GGA ACT CTG TCA GGG TGG ATT TTA TCA AAA GCC AAG AAG	S F D R G G T L S G W I T S K A K K	374
	60	70
GAT GAC ACT GAT GAT GAA ATT GCA AAA TAT GAC GGA AAG TTG GAG GTA GAT	D O D T D D D E I A K Y D G K W E G V D	425
	80	
GAA ATC AAG GAA ACA AAG CTC CCA GGT GAT AAA CGG GTT GTG TTG ATG TCT	E N K E T Y K L P G D K G L V L M S	476
	90	100
CGG CGC AAG CAT GAT GCC ATC TCT GCA AAA CTC AAC AAG CCC TTC CTG TTT	R A K H N A I S A C L H K P F L F	527
	110	120
GAT ACC AAG CCT ETC ATT GTT CAG TAT GAG GTT AAT TTC CAA AAT GGA ATA	D T T K P L I V O Y E V N F D M G I	578
	130	
GAA TGT GGT GGT GCT TAT GTG AAA CTG CTT TCC AAA ACC CCC GAA CTT AAC	E C G G G A Y V K L L S K T P E L N	629
	140	150
CTG GAT CAG TTC CAC GAG AAC ACC CCT TAT ACG ATT ATT GTT GGT CCA GAT	L D O D F R D K T P Y T I N F G P D	680
	160	170
AAA TGT GGA GAA GAC TAT AAA CTG CAC TTC ATC TTT CGC CAC AAA AAC CGC	K C G E D Y K L N F I F R H K N P	731
	180	190
AAA ACA GGC TTA GAA GAA AAG CAT GCT AAG AGC CCA GAT CCA GAT CTG	K T T G V Y E E K N A K R P D A D L	782
	200	
AAG ACC TAT TTT ACT GAG AAC ACA CAT CTT TAT ACA TTA ATT TTG AAT	K T Y F T T D K K T H L Y T L L L N	833
	210	220
CCA GAT AAT AGT TTT GAA ATA CTA GTG GAC CAA TCT ATT GAT AAT AGT GGA	P D N S F E I L V D Q S I V N S G	884
	230	240
AAT TTA CTA AAT GAC ATG ACT CCT CCT GTA AAT CCT CTA CGT GAA ATT GAG	N L L N D N T P P V M P S R E I E	935
	250	
GAC CCA GAA GAC CAG AAG CCT GAA GAT TGG GAT GAA GAA CCA AAA ATA CCA	D P E D Q K P E D W D E R P K I P	986
	260	270
GAT CCT GAT CCT GTC AAA CCA GAT GAC TGG AAT GAA GAT GCC CCT GC1 AAG	D P D A A V K P D D W M E D A P A K	1037
	280	290
ATT CCA GAT GAA GAA GCT ACG AAG CCT GAT GGC TGG TTA GAT GAT GAA CCCT	I P D R E A E C T P D G G W L D D E E P	1088
	300	
GAA TAT GTA CDT GAT CCA GAT CCA GAG AAG CCA GAG GAT TGG CAT GAA GAT	E T V P D P D A E K P E D W D E D	1139
	310	320

ATG	GAT	GCA	GAA	TGG	GAG	GCT	CCT	CAG	ATC	CCC	AAC	CCT	AAG	TGT	GAG	TGC	1290
M	D	G		E	U	E	A	P	Q	I	A	N	P	K	C	E	S
330																	340
GCC	CCT	GGG	TGT	GGT	GTC	TGG	CAG	CGA	CCT	ATG	ATT	GAC	AAC	CCT	AAT	TAI	1291
A	P	G	C	G	V	U	Q	R	P	M	J	D	N	P	N	Y	
350																	360
AAG	GGC	AAA	TGC	AAG	CCT	CCC	ATT	GAT	ATT	GAC	AAT	CCT	AAC	TAC	GGA	ATC	1292
K	G	K	U	V	K	P	P	M	I	D	M	P	N	Y	Q	G	I
370																	
TGG	AAA	CCC	CGG	AAG	ATA	CCA	AAT	CCG	GAT	TTC	TTT	GAA	GAT	GCT	GAA	CCT	1343
W	K	P	R	K	I	P	N	P	D	G	T	F	F	E	D	L	E
380																	390
TTT	AAA	ATG	ACT	CCT	TTT	ACC	GTT	ATT	GGT	TGT	GAA	CTG	TGG	TCT	ATG	ACC	1394
F	K	M	T	P	F	S	A	I	G	L	E	L	M	M	T		
400																	410
TCA	GAC	ATT	TTT	TTT	GAC	AAC	TTT	ATT	GTT	TCT	GGG	GAT	GCA	AGA	GTA	GTT	1443
S	D	I	F	F	D	N	F	I	V	C	G	D	R	R	V	V	
420																	
GAT	GAT	TGG	GCC	AAT	GAT	GGA	TGG	GCT	CTG	AAG	AAA	CCA	GCT	GAT	GGG	GCT	1498
D	D	U	A	N	D	G	U	G	L	K	K	A	A	D	G	A	
430																	440
GCC	GAC	GCA	GGT	GTG	GTC	GGG	GAG	ATG	ATT	GAG	GCA	GCT	GAG	GAG	CCC	CCG	1547
A	E	P	C	V	V	G	Q	M	I	E	A	A	E	A	E	R	
450																	460
TGG	CTC	TGG	GTC	GTC	TAC	GTT	TTG	ACC	GTA	GCT	CTG	CCC	GTC	TTT	CTT	GTT	1598
470																	
ATC	CTC	TTC	TGC	TGT	CTT	GCA	AAG	AAA	CAG	TCA	AGT	CCT	GTG	GAG	TAT	AAG	1649
T	T	T	T	T	T	T	T	T	T	T	T	T	T	T	T	T	T
480																	490
AAG	ACA	GAC	GCT	CCT	CAG	CCA	GTA	GTC	ATG	GAG	GAG	GAG	GAA	GAA	AAG	GAA	1700
K	T	D	A	P	Q	P	O	V	K	E	E	E	E	E	K	E	
500																	510
GAG	GAA	AAG	GAC	AAG	GGC	GAT	GAG	GAG	GAG	GGC	GAA	GAA	AAG	CTT	GAA		1751
E	E	K	D	K	G	D	E	E	E	E	G	E	K	L	E		
520																	530
GAG	AAG	CAA	AAA	AGT	GAT	GCT	GAA	GAA	GAT	GGC	GGC	ACT	GCC	ACT	CAA	GAG	1802
E	K	Q	K	S	D	A	E	E	D	G	G	T	A	S	O	E	
540																	
GAG	GAC	GAT	AGG	AAA	CCT	AGP	GCA	GAG	GAT	GAA	ATT	TTG	AAC	AGA	TCA		1853
E	D	D	R	K	P	K	A	E	G	E	E	I	L	N	R	S	
550																	560
CCA	AGA	ACC	AGA	ACA	CGA	CGA	AGA	GAG	TGA	AAACAATT	TAAGAACT	TGAT					1903
P	R	N	R	K	P	R				E	END						
570																	573
CTGTG	TAAT	TGCT	CCCT	CTCCCT	CTCCCT	CTCCCT	CGAAGC	ATGGT	CTCTGGG	AGAGCCG	CACTGG						1963
CACACCT	TACCT	TGACT	TGAACT	TGAGAAACCT	TGACAGACT	TGACAGCT	TGACAGCT	TGACAGCT	TGACAGCT	TGACAGCT	TGACAGCT						2023
CAGTACGCCCG	TGTAAT	TTTAAACAT	CTTAAGCACT	TAATAAT	TGCTGT	TGCAAT	TGCAAT	TGCAAT	TGCAAT	TGCAAT	TGCAAT						2083
ACCTCT	GTCT	TGAGAAAGCAAGCACT	ATAACACT	TAATACT	TGCTGT	TGCAAT	TGCAAT</										

FIG.7

# SUBSTITUTE SHEET

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/CA 94/00459

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 A61K31/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	TRENDS BIOCHEM. SCI., vol.19, no.3, March 1994 pages 124 - 128 J.M. BERGERON ET AL. 'CALNEXIN: A MEMBRANE-BOUND CHAPERONE OF THE ENDOPLASMIC RETICULUM' see the whole document ---	1,4-6, 9-11,14
P,X	NATURE, vol.364, no.6440, 26 August 1993 pages 771 - 776 W.J. OU ET AL. 'ASSOCIATION OF FOLDING INTERMEDIATES OF GLYCOPROTEINS WITH CALNEXIN DURING PROTEIN MATURATION' cited in the application see the whole document --- -/--	1,4-6, 9-11,14

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

12 January 1995

Date of mailing of the international search report

10.02.95

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Authorized officer

Hoff, P

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 94/00459

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	THE JOURNAL OF BIOLOGICAL CHEMISTRY, vol.266, no.29, 1991 pages 19599 - 19610 I. WADA ET AL. 'SSRalpha AND ASSOOCIATED CALNEXIN ARE MAJOR CALCIUM BINDING PROTEINS OF THE ENDOPLASMIC RETICULUM MEMBRANE'	1,2
A	see the whole document ---	3-23
X	WO,A,93 13788 (ARIAD PHARMACEUTICALS) 22 July 1993 see abstract	18,20,21
Y	see page 1, line 1 - page 2, line 28  see page 5, line 10 - page 6, line 5 see page 21, line 30 - page 24, line 10; claims 33-40 ---	1,4-6, 9-11,14
Y	WO,A,93 13768 (ARIAD PHARMACEUTICALS) 22 July 1993 see abstract see page 5, line 17 - page 7, line 11 see page 11, line 12 - page 13, line 33; claims ---	1,4-6, 9-11,14
Y	FASEB, vol.7, no.7, June 1993 page A1245 S. PIND ET AL. 'INTERACTION OF CFTR WITH THE CHAPERONE P88 (CALNEXIN) DURING BIOSYNTHESIS IN THE ER' see the whole document ---	1,4-6, 9-11,14
A	THE JOURNAL OF BIOLOGICAL CHEMISTRY, vol.267, no.33, 1992 pages 23789 - 23796 W.J. OU ET AL. 'CASEIN KINASE II PHOSPHORYLATION OF SIGNAL SEQUENCE RECEPTOR alpha AND THE ASSOCIATED MEMBRANE CHAPERONE CALNEXIN' see the whole document ---	1-23
A	THE JOURNAL OF BIOLOGICAL CHEMISTRY, vol.268, no.13, May 1993 pages 9585 - 9592 V. DAVID ET AL. 'INTERACTION WITH NEWLY SYNTHESIZED AND RETAINED PROTEINS IN THE ENDOPLASMIC RETICULUM SUGGEST A CHAPERONE FUNCTION FOR HUMAN INTEGRAL MEMBRANE PROTEIN IP90 (CALNEXIN)' see the whole document -----	1-23

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/CA94/00459

**Box I** Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claims 1-5 are directed to a method of treatment and claims 22-23 to a diagnostic method practised on the human/animal body, the search has been carried out and based on the alleged effects of the compound/c
2. ☒ Claims Nos.: 1-23  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  
For further information please see annex.
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II** Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International Application No. PCT/CA94/00459

FURTHER INFORMATION CONTINUED FROM PCT/ISA/201

### CONTINUATION OF BOX I.2:

A compound is not sufficiently characterised by its pharmacological profile or its mode of action as it is done by expressions like "calnexin suppressor agent" or "agent which stimulates calnexin activity", and "increasing secretory protein production" or "protein trafficking disorder" can not be considered as a clear description of a disease.

Therefore the evaluation of the technical nature of the subject matter and of the prior art are equivocal and subjective. As a consequence, it may well be that the most relevant prior art has not been retrieved in the Search Report.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 94/00459

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-9313788	22-07-93	NONE	
WO-A-9313768	22-07-93	NONE	